

Express Mail Label No.: 18109182US

Date of Deposit: August 10, 2001

Attorney Docket No. 21162-015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FIRST-NAMED INVENTOR OR Thomas R. Goodwin, et al.

APPLICATION IDENTIFIER:

FOR:

SYSTEM AND METHOD FOR TRADING AND ORIGINATING
FINANCIAL PRODUCTS USING A COMPUTER NETWORK

August 10, 2001

Boston, Massachusetts

Box PATENT APPLICATION

Assistant Commissioner for Patents

Washington, D.C. 20231

REQUEST FOR FILING A NEW NONPROVISIONAL APPLICATION
UNDER 37 C.F.R. §1.53(b)

1. This is a request for filing a new nonprovisional application under 37 C.F.R. §1.53(b).

2. ☒ Specification and Drawings (Total pages: 79);

Specification (40 pages); Claims (4 pages); Abstract (1 page); Application Cover Sheet;
Drawings (33 sheets); FIGS. 1-30.

☐

Formal

☒

Informal

3. ☒ Declaration and Power of Attorney

☒

Unsigned

☐

Signed

4. Fee Calculation

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) \$710.00
Total Claims (37 C.F.R. 1.16(c))	20	- 20 =	0	\$ 18.00	0
Independent Claims (37 C.F.R. 1.16(b))	3	- 3 =	0	\$80.00	0
Multiple Dependent Claim(s), if any (37 C.F.R. 1.16(d))				\$270.00	0
SUBTOTAL:					\$710.00
Reduction by 50% for filing by small entity:					- \$355.00
TOTAL FEE:					\$355.00

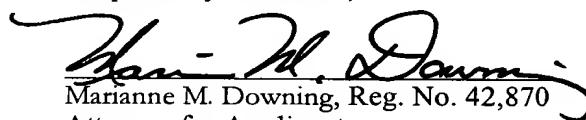
5. ☒ A check in the amount of \$355.00 is enclosed.

FIRST-NAMED INVENTOR OR **Thomas R. Goodwin, et al.**
APPLICATION IDENTIFIER:
Request for New Nonprovisional Application (37 C.F.R. §1.53(b))

6. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-0311, Ref. No. 21162-015.
- ☒ Fees required under 37 C.F.R. §1.16;
 - ☒ Fees required under 37 C.F.R. §1.17;
 - ☒ Fees required under 37 C.F.R. §1.18.
7. ☒ Return Receipt Postcard Enclosed.
8. ☐ Other Documents Enclosed:
- ☐ REQUEST AND CERTIFICATION UNDER 37 C.F.R. §122(b)(2)(B)(i)

Dated: August 10, 2001

Respectfully submitted,


Marianne M. Downing, Reg. No. 42,870
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